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05-29-02

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Takeshi SATO

Appln. No. 09/840,823

Group Art Unit: 2631

Confirmation No.: 6100

Examiner: Unknown

Filed: April 25, 2001

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For: W-CDMA TRANSMISSION RATE ESTIMATION METHOD AND DEVICE

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Great Britain Patent Application No. 2 344 731 A, published June 14, 2000.
2. European Patent Application No. 0 763 901 A1, published March 19, 1997.
3. World Patent No. 00/16512, published March 23, 2000.
4. U.S. Patent No. 5,774,496 issued June 30, 1998.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution

Takeshi SATO  
09/840,823  
INFORMATION DISCLOSURE STATEMENT

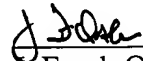
application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

Applicant encloses herewith a copy of a corresponding Foreign Search Report citing such documents and indicating the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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Date: March 6, 2002

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A circular stamp from the Office of Intellectual Property (OIPE). The text "OIPE" is at the top, "MAR 06 2002" is in the center, and "PATENT &amp; TRADEMARK OFFICE" is at the bottom. The stamp is partially overlapping the "PUBLISHED" text.

*Complete if Known*

Application Number	09/840,823
Confirmation Number	6100
Filing Date	April 25, 2001
First Named Inventor	Takeshi SATO
Art Unit	2631
Examiner Name	Unknown
Attorney Docket Number	O64241

Sheet	1	of	1
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Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,774,496		06/30/1998	Butler et al.
		US			
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

<b>Examiner Signature</b>	<b>Date Considered</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.